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Technology Center 2600

HE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peterka et al.

Application No.: 09/830,754

Filed: April 30, 2001

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For: APPLICATION PROGRAMMING INTERFACE (API) FOR ACCESSING AND

MANAGING RESOURCES IN A DIGITAL TELEVISION RECEIVER

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, D. 20231 on July 5, 2001.

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to call the attention of the Examiner to the following reference:

U.S. PATENTS:

Patent No.	<u>Patentee</u>	Date of Issue
5,815,678	Hoffman et al.	September 29, 1998
5,768,539	Metz, et al	June 16, 1998
5,758,257	Herz, et al.	May 26, 1998
5,742,772	Sreenan	April 21, 1998
5,717,691	Dighe, et al.	February 10, 1998

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FOREIGN PATENT DOCUMENTS:

Country	No.	<pre>Date of Publication</pre>
EPO	EP 0 944 257 A1	September 22, 1999
EPO	EP 0 854 645 A2	July 22, 1998
EPO	EP 0 817 041 A2	January 7, 1998
EPO	EP 0 813 147 A2	December 17, 1997
Germany	DE 196 50 515 A1	June 25, 1998

PUBLICATION(S):

- 1. Evain, J., "The Multimedia Home Platform", EBU Review Technical, BE, European Broadcasting Union, Brussels, No. 275,
 March 21, 1998 (7 Pages).
- 2. Rath, K., et al., "Set-Top Box Control Software: A Key Component in Digital Video", Philips Journal of Research,
 Amsterdam, Vol. 50, no. 1 / 02 July 1996 (15 Pages).
 - 3. Wall, W.E., "An Advanced Video Platform for the Cable Industry", Fourth International Workshop on Community Network Processing, Georgia, USA, September 11, 1997 (3 Pages).

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4. Hartwig, S. "Softwarearchitekturen Für Interaktive Digitale Decoder", Fernseh Und Kinotechnik, Vol. 50. No. 3, Germany, March 1, 1996 (11 Pages).

The Hartwig article was identified as category A background information in the International Search Report received in connection with the counterpart PCT application. The figures in Hartwig are labeled in English.

An English language Abstract is provided for German patent no. DE 196 50 515 A1.

Copies of the above-listed references are enclosed, together with a completed form PTO-1449.

This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application, and therefore no fee is due.

Consideration of these references by the Examiner is respectfully requested.

Respectfully submitted,

Date: July 5, 2001

ATTORNEY DOCKET NO.: GIC-561

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Monroe, CT 06468 (203) 459-0200

SHEET _ I_ OF FORM PTO-1449 ATTY. DOCKET NO.: GIC-561 SERIAL NO.: 09/830,754 TEMENT BY APPLICANT **INFORMATION DISC** APPLICANT(S): Peterka, et al. FILING DATE: April 30, 2001 GROUP: U.S. PATENT DOCUMENTS **EXAMINER DOCUMENT NUMBER** DATE NAME **CLASS** SUB-**FILING** INITIAL CLASS DATE AA 5,815,678 Sept. 29, 1998 Hoffman et al. AB 5,768,539 June 16, 1998 Metz et al. AC5,758,257 May 26, 1998 Herz et al. AD 5,742,772 April 21, 1998 Sreenan AΕ 5,717,691 Feb. 10, 1998 Dighe et al. FOREIGN PATENT DOCUMENTS **CLASS** SUB-**DOCUMENT** DATE **COUNTRY** TRANS-CLASS **LATION** NUMBER YES NO AF EP 0 944 257 A1 Sept. 22, 1999 **EPO** AG EP 0 854 645 A2 July 22, 1998 **EPO** AH **EPO** EP 0 817 041 A2 Jan. 7, 1998 ΑI EP 0 813 147 A2 Dec. 17, 1997 **EPO** ΑJ DE 196 50 515 A1 June 25, 1998 Germany OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) Evain, J., "The Multimedia Home Platform", EBU Review - Technical, BE, European Broadcasting Union, AK Brussels, No. 275, March 21, 1998 (7 Pages). Rath, K., et al., "Set-Top Box Control Software: A Key Component in Digital Video", Philips Journal of AL Research, Amsterdam, Vol. 50, no. 1 / 02 July 1996 (15 pages). Wall, W.E., "An Advanced Video Platform for the Cable Industry", Fourth International Workshop on AM Community Network Processing, Georgia, USA, September 11, 1997 (3 Pages). Hartwig, S. "Softwarearchitekturen Für Interaktive Digitale Decoder", Fernseh Und Kinotechnik, Vol. 50. AN No. 3, Germany, March 1, 1996 (11 Pages).

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXAMINER

DATE CONSIDERED